



Sample Decision Support ATM Projects

Integration of National Airspace System Models

Modeling NAS Infrastructure Investments

J. Kobza, A.A. Trani, H.D. Sherali, H. Baik and C. Quan

Sponsor

**FAA Office of Program Analysis and Investment
(Dr. Randy Stevens)**

Integration of National Airspace System Models



Project Objectives

- To investigate alternatives to integrate existing National Airspace System models as a suite of decision making tools
- To connect new algorithms with existing NAS airspace and airport simulation models (e.g., SIMMOD, RAMS, etc.)

Status of the Project:

- An object-oriented programming mechanism to connect to the architecture of both models with external processes is under development
- The approach will be demonstrated next using a generic ground network simulation capability to extend RAMS

Integration of Airspace/Airfield Models to External Processes

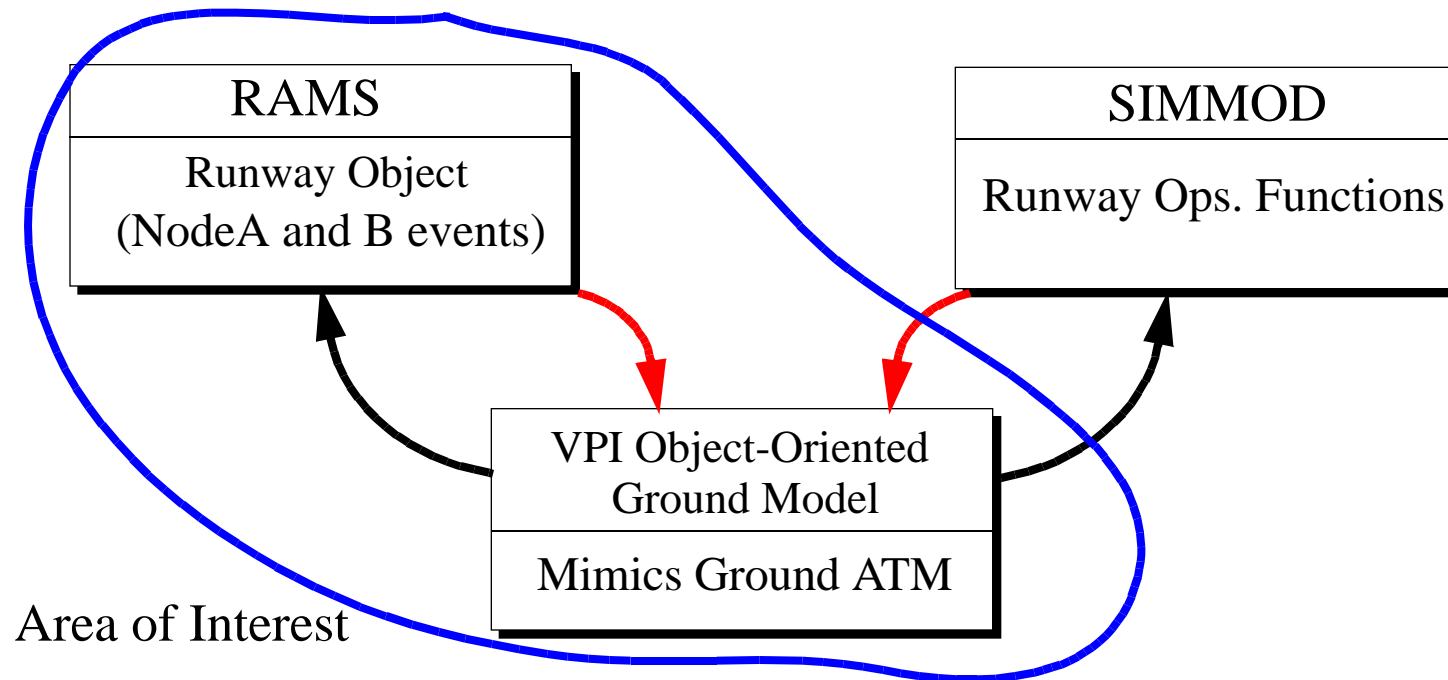


- To demonstrate the **integration process** RAMS and SIMMOD are being used as testcase
 - + RAMS - The Reorganized Airspace Mathematical Simulator
 - + Airspace operational model developed by Eurocontrol to simulate advanced airspace concepts
 - + SIMMOD - the FAA airfield and airspace model
- Curiously, **none of these models was designed** with a plug-in architecture in mind (SIMMOD designed in the 1970s and RAMS in the 1980s)
- Both are NARIM tools
- RAMS lacks detailed ground simulation capabilities (perceived as weakness by many)



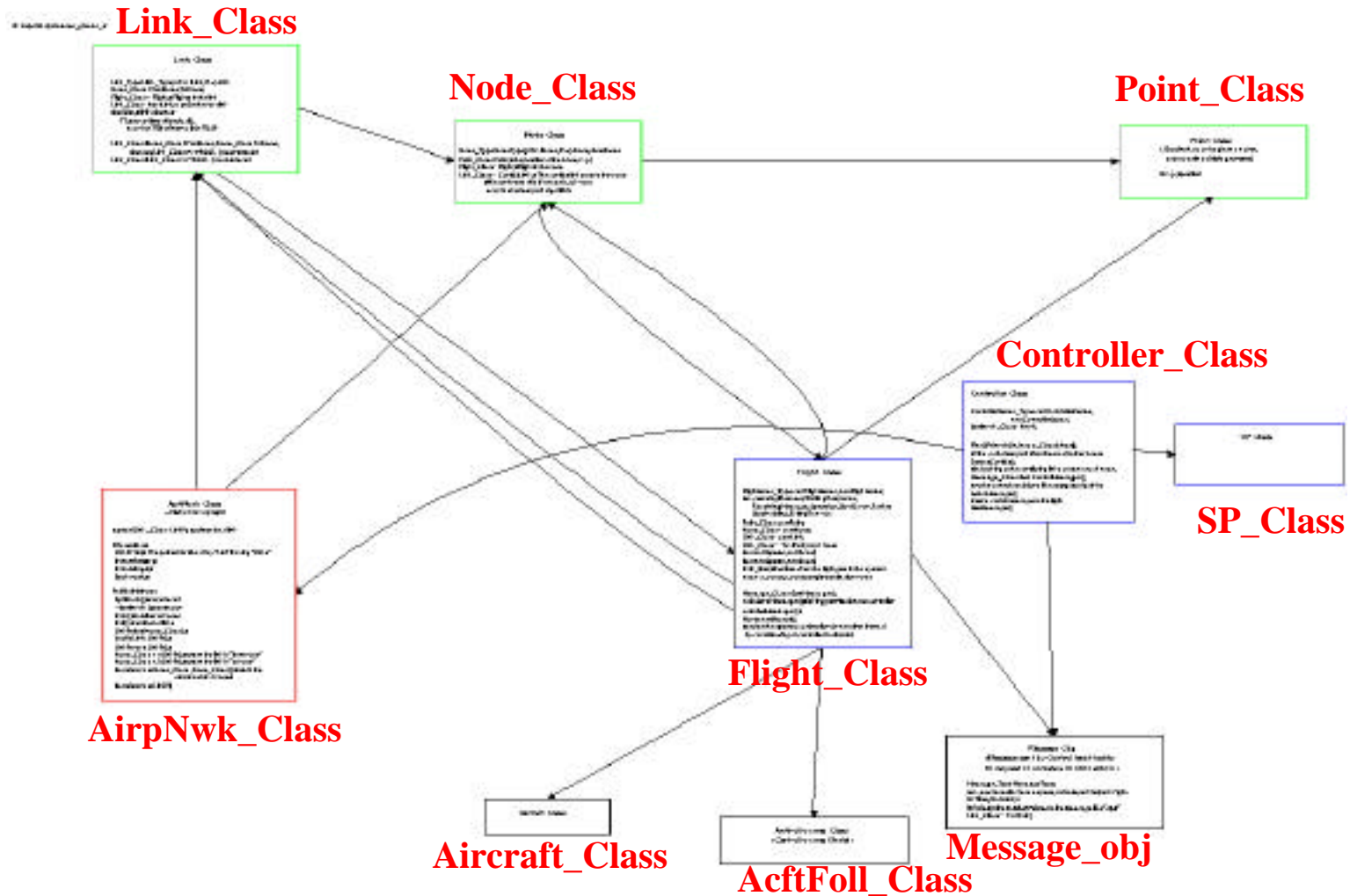
Integration Approach

- Develop an integration procedure to link dynamic events into RAMS and SIMMOD
- Ground simulation component is the **common element** for the integration procedure (RAMS has little ground logic)





Object-Oriented Ground ATM Model

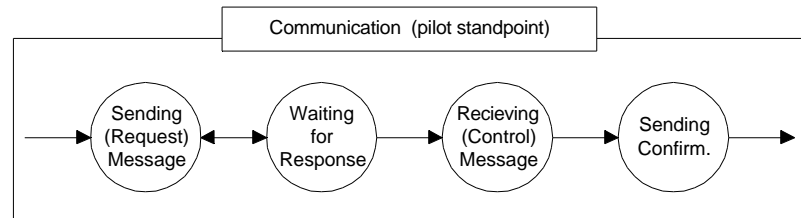
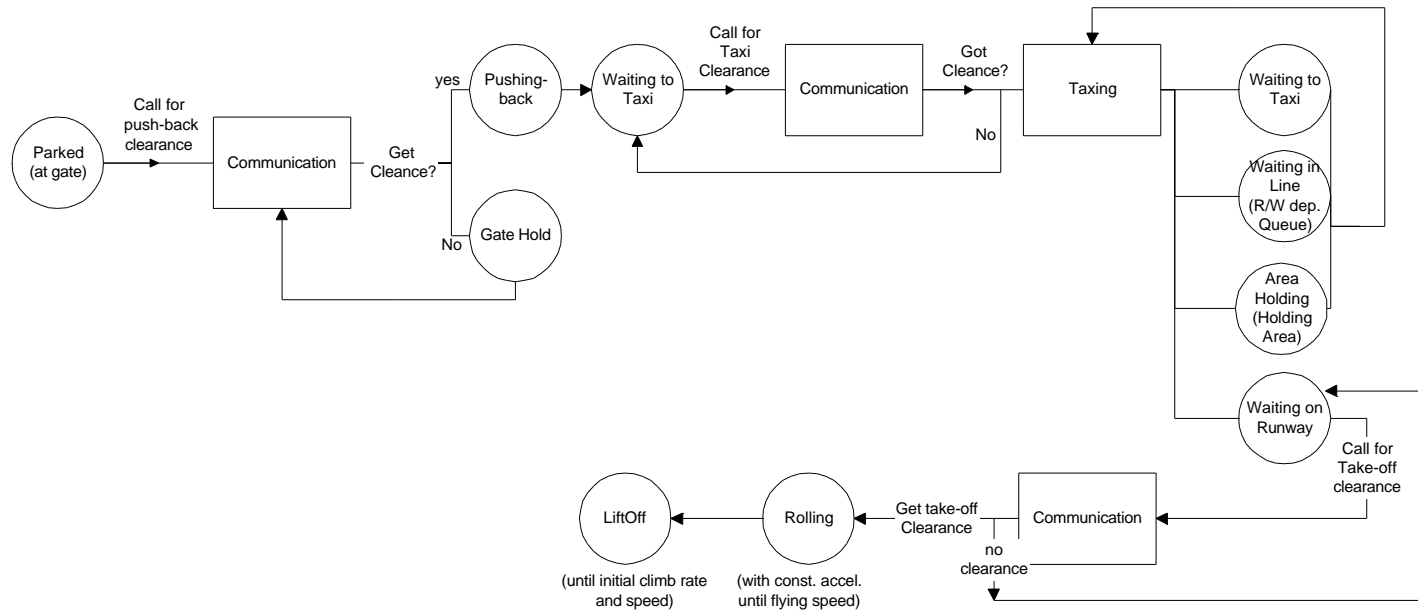


Model Features



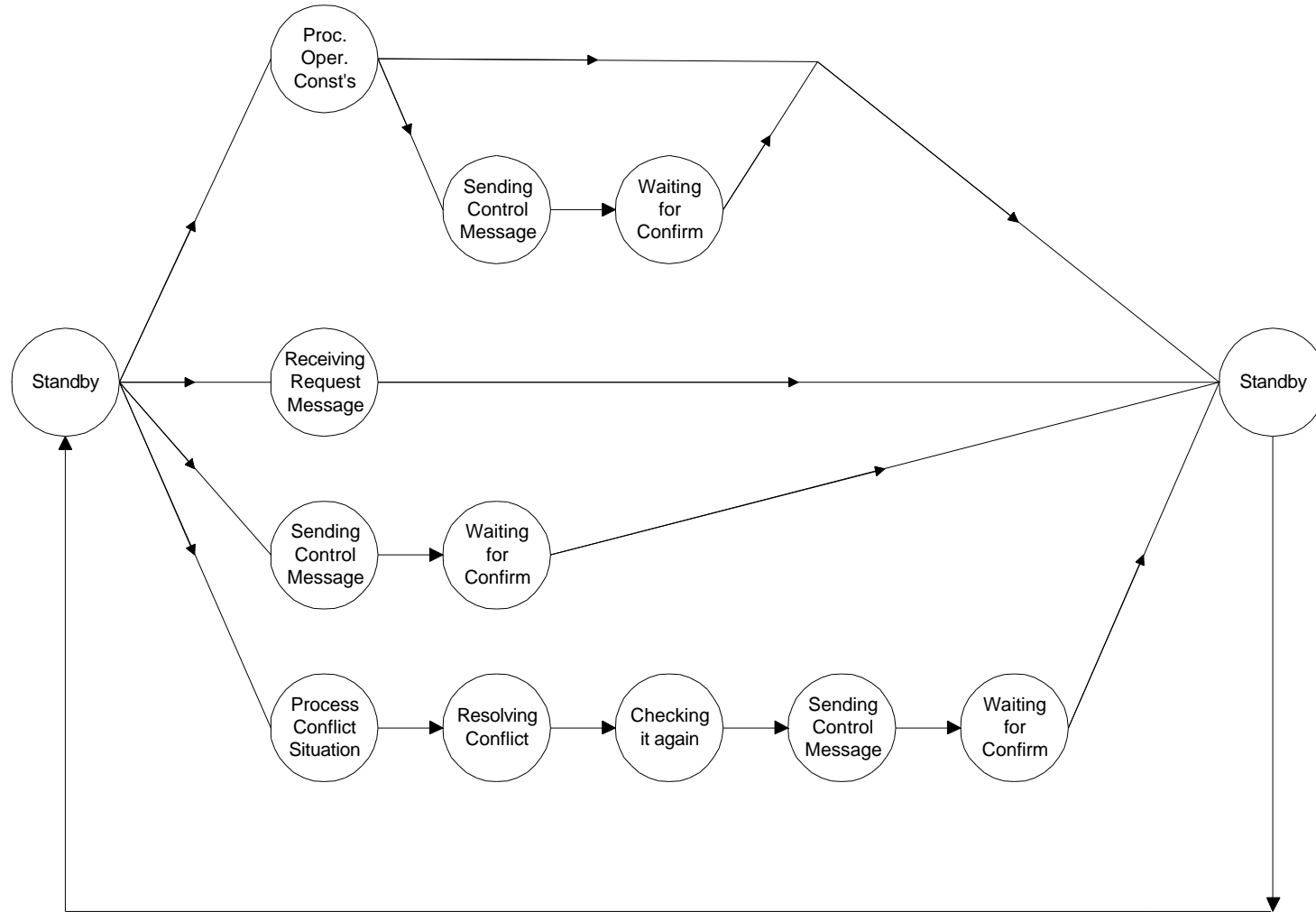
- **Continuous (or discrete-event)** micro-simulation model
- The total delay due to network congestion can be analyzed
- Implements an “aircraft-following” model
 - + The dynamic behavior of moving aircraft can be captured
- **Communication delays** and frequency congestion is incorporated
- Continuous updating the shortest path (Quasi-Dynamic)
 - + More realistic results in reasonable computation time.
- Ground control model attempts to produce a continuous **dynamic equilibrium**
- Three types of data structures (Array, Linked-list, Mixed) depending on the data type

Departure State Transition Diagram





Controller State Transition Diagram



Air craft-Follo wing Logic (second-order model)



Distance control logic

$\ddot{x}_{n+1}^{t+t} = k[(x_n^t - x_{n+1}^t) - D]$, where k = design parameter, D = safety distance

If $\ddot{x}_{n+1}^{t+t} > \ddot{x}_{\max}$, then $\ddot{x}_{n+1}^{t+t} = \ddot{x}_{\max}$

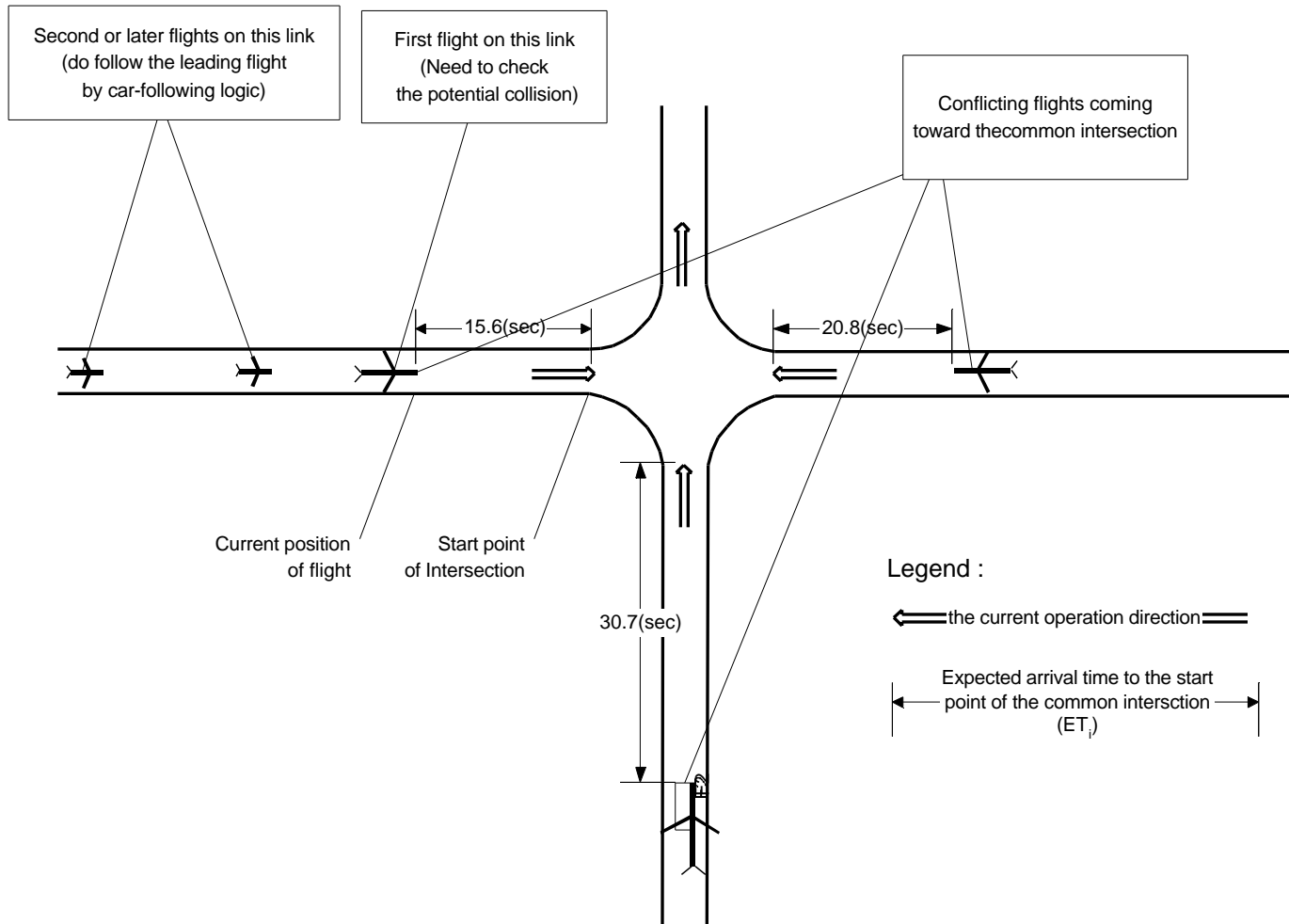
Speed control logic

$\ddot{x}_{n+1}^{t+t} = k(\dot{x}_n^t - \dot{x}_{n+1}^t)$ if $\ddot{x}_{n+1}^{t+t} > \ddot{x}_{\max}$, then $\ddot{x}_{n+1}^{t+t} = \ddot{x}_{\max}$

Distance-speed control logic

$$\ddot{x}_{n+1}^{t+t} = [\dot{x}_n^t - \dot{x}_{n+1}^t] \quad \text{where,} \quad = C \frac{(\dot{x}_{n+1}^{t+t})^m}{[x_n^t - x_{n+1}^t]^l}$$

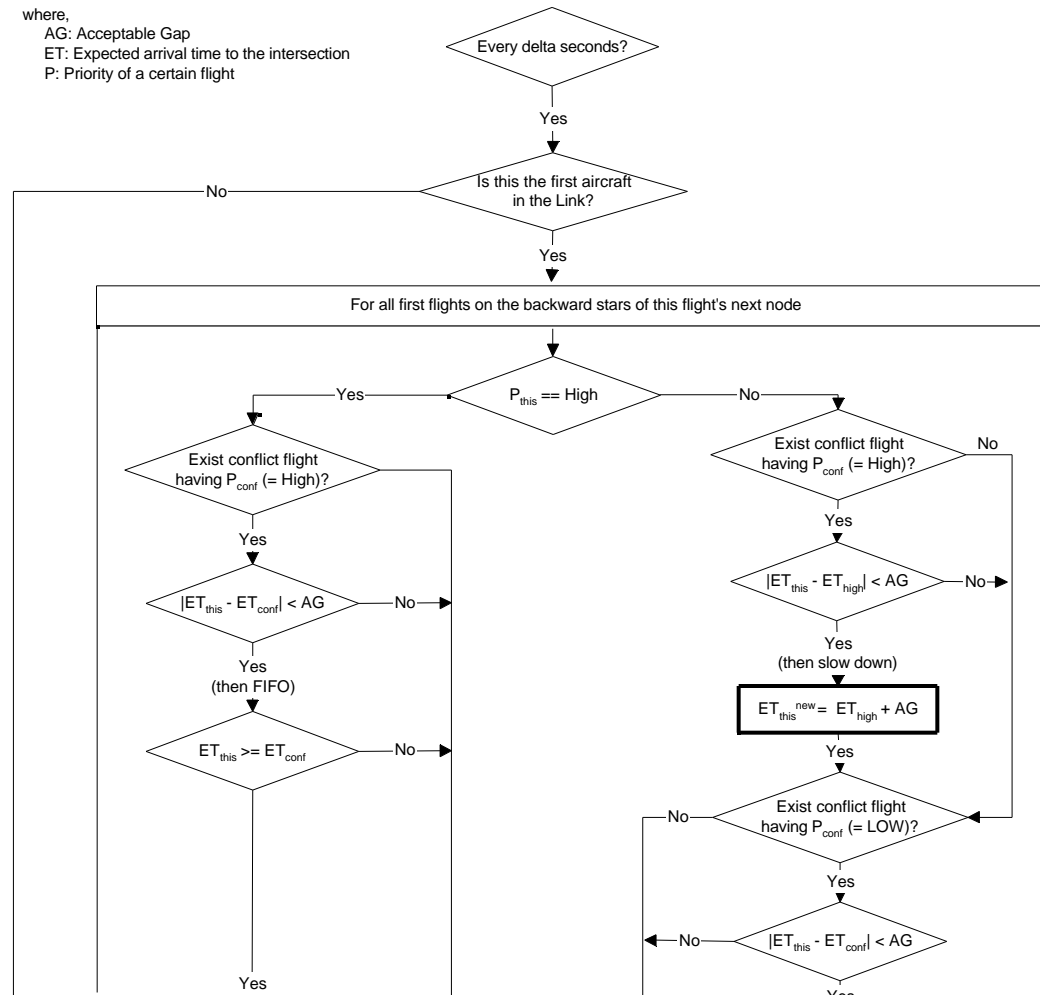
Collision Detection at Intersections



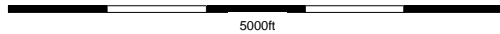
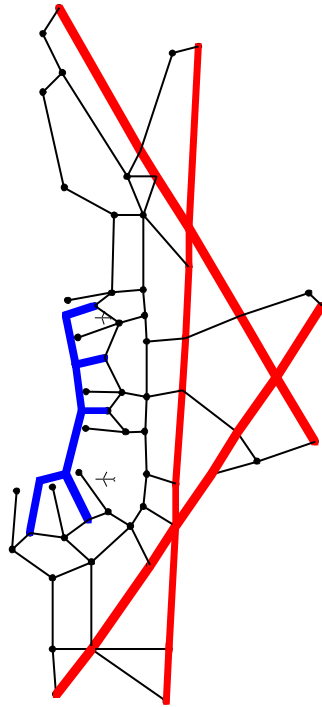
Intersection Resolution Algorithms



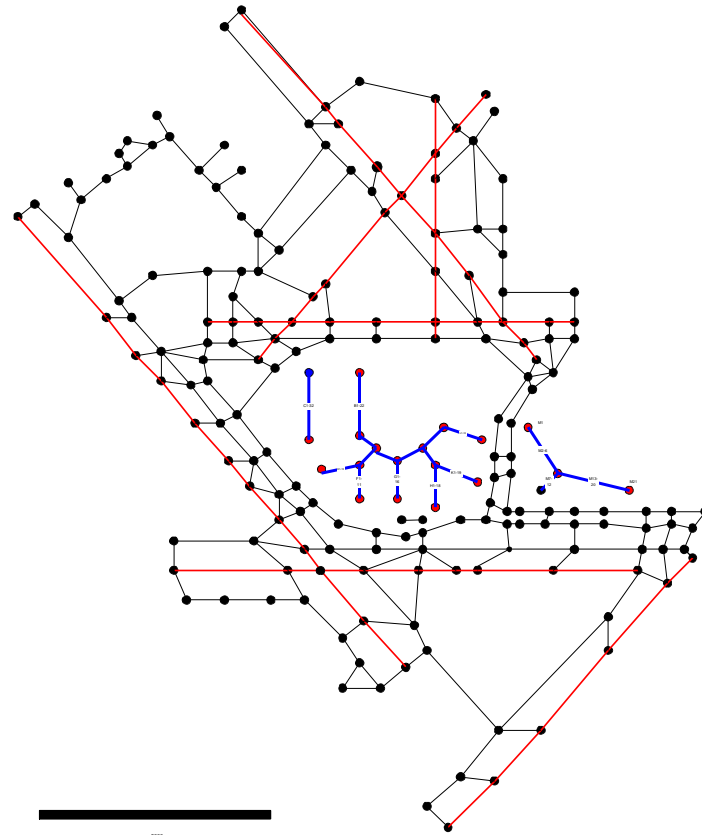
where,
 AG: Acceptable Gap
 ET: Expected arrival time to the intersection
 P: Priority of a certain flight



Sample Air port Netw orks



DCA



ORD

Sample Data Files



File 1: Node Data

1. NodeId
2. NodeType (Gate/Taxiway/Runway)
3. TT
4. Restriction

File 2: Link Data

1. FromNode
2. ToNode
3. LinkType
4. LinkId
5. Restriction
6. Direction

File 3: Aircraft Model

1. Model_Id
2. Wingspan
3. Length_f
4. MaxAccel
5. Etc.

File 4: Flight Plan

1. Flt No.
2. Acft_Type
3. Flt_Type
4. S_Time: Start time
5. O_Node: Origin Node
6. D_Node: Destination node
7. etc

File 5: MinPath Matrix

from\to	0	1	2	3	4	5
0	0	1	1	3	3	3
1	-9999	0	2	-9999	4	4
2	-9999	-9999	0	-9999	-9999	5
3	-9999	-9999	-9999	0	4	4
4	-9999	-9999	-9999	-9999	0	5
5	-9999	-9999	-9999	-9999	-9999	0

Relevance of the Integration



- RAMS is the subject of **three integration processes** to enhance its capabilities as a decision support tool (our viewpoint)
 - + RAMS-OPGEN
 - + RAMS-Weather
 - + RAMS-Ground_Sim
- While moderate gains in airspace capacity might be possible in NAS under various future Concept of Operations the airport capacity limits of the system remain a critical ATM issue

Modeling NAS Infrastructure Investments



Research Objectives:

- Develop a modeling framework to estimate NAS investments, their effects in NAS operational metrics (delay, capacity, etc.) and macroeconomic impacts

Approach:

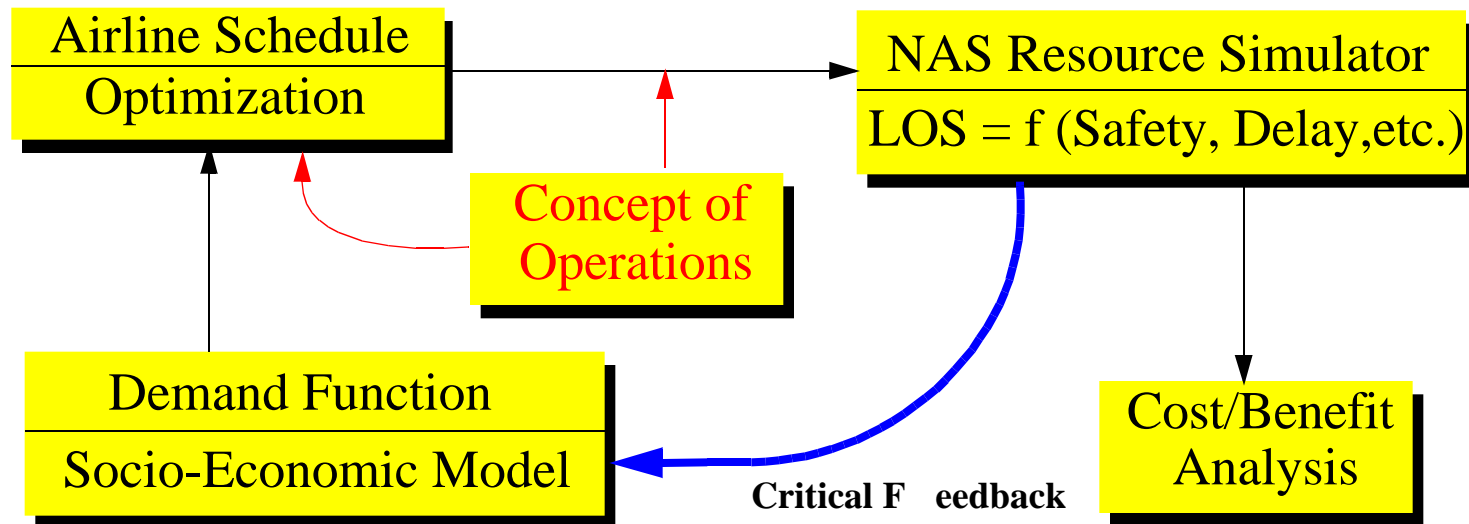
- A macroscopic modeling framework to assess the development of NAS has been postulated using Systems Dynamics
- Backbone of the modeling framework has been developed
- Implementation of the framework using State-Flow¹ is in progress (proof-of-concept)

1.State-Flow is a simulation environment developed by the Mathworks in Natick, MA.



Investment Models Cycle (NARIM)

- A conglomerate of models (belonging to three specific domains - per Odoni et al., 1996):
 - + Operational models (RAMS, SIMMOD, ACM, etc.)
 - + Architectural models (NASSIM - National Airspace Systems Simulation)
 - + Investment analysis modeling



Complementary Approach to NARIM

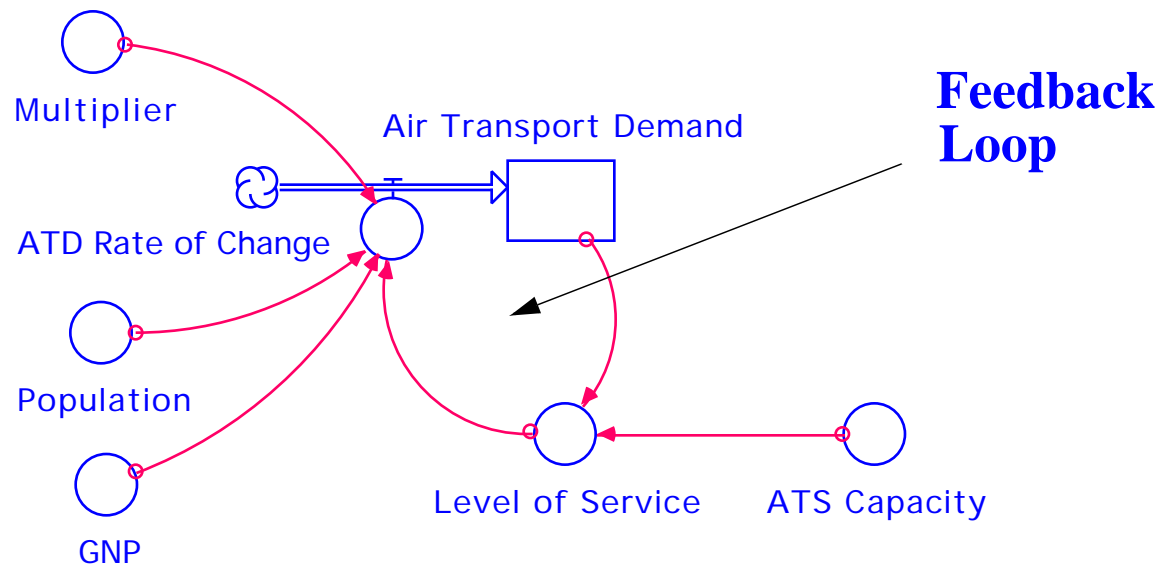


- We are not trying to create a new NARIM
- The framework being investigated could be used (if successful) as **an integration approach that binds NARIM** models together
- The difficulty with the current approach in NARIM is that each case scenario needs to be studied independently and models run individually
- The Systems Dynamics approach proposed uses a response curve approach coupled with a dynamic model to ‘**encapsulate**’ agent behavior researched elsewhere (i.e., ATM Group # 1)
- The approach tries to answer questions without running each model every time



Systems Dynamics Variables (STELLA IITM Representation)

- **Level variables** - represent accumulation of resources (also called state variables of the system)
- **Rate variables** - represent the rates of change of level variables
- **Auxiliary variables** - other variables used to estimate rate variables

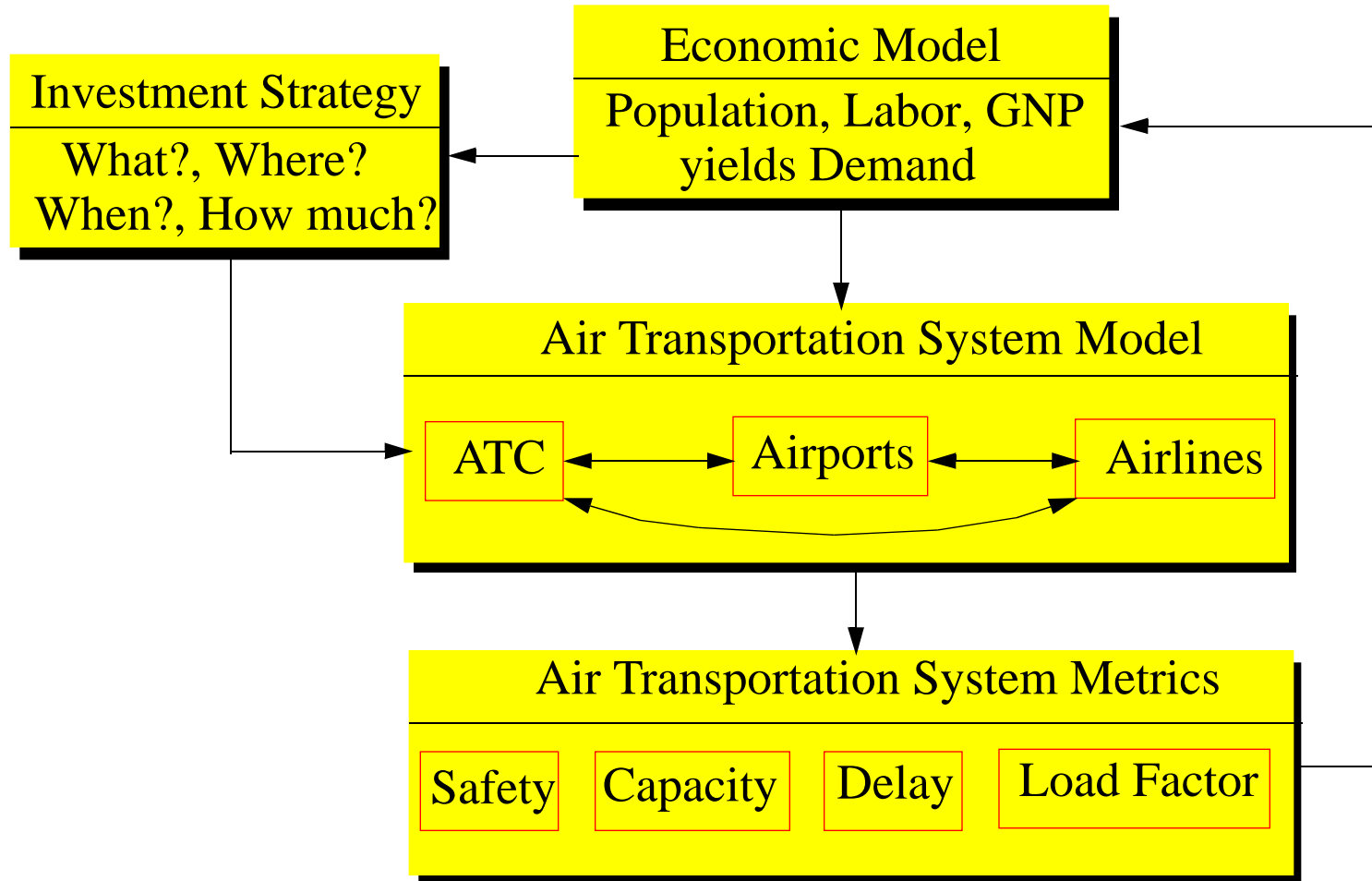


Issues in Modeling NAS Agents



- Level of aggregation
 - + NAS agents can be modeled individually or using some level of aggregation
 - + The level of aggregation in modeling is very critical
- Who should be considered?
 - + FAA (infrastructure facilities such as centers, sectors, CNS assets; staff,etc.)
 - + Airlines (fleet assets, gaming strategy)
 - + Users (the basis for air transport demand)
- Modeling agent dynamics
 - + Part of NEXTOR's challenge is to research how agents respond to short and long term system changes
 - + Agent dynamics are being studied concurrently

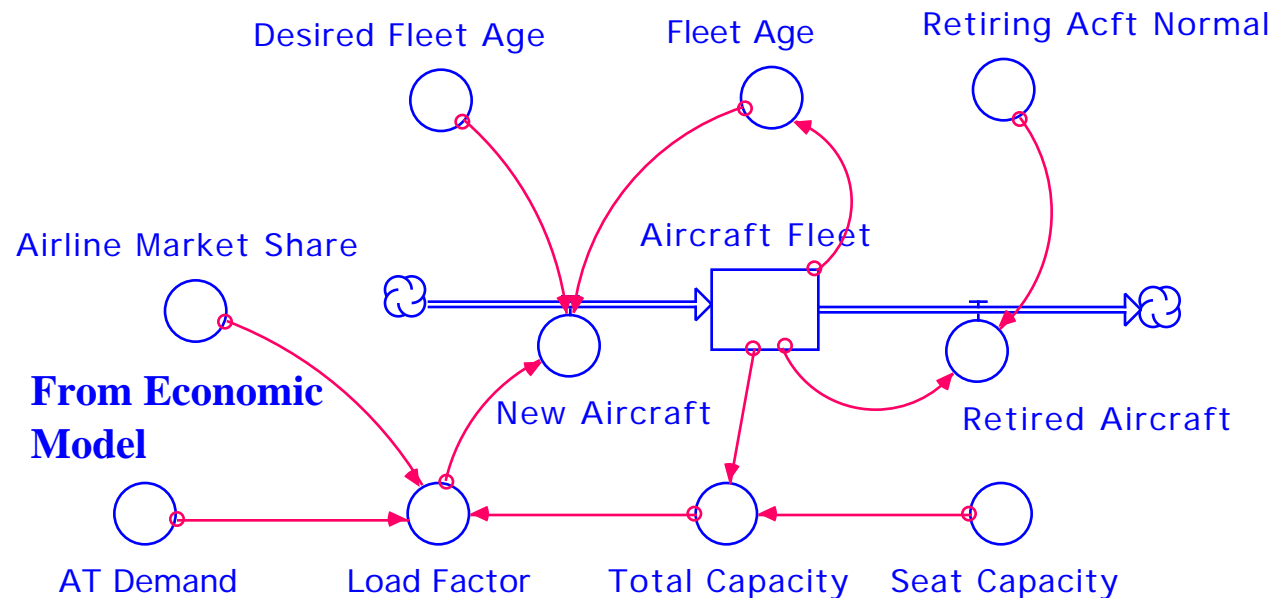
Modeling Framework Structure





Example of Airline Asset Modeling

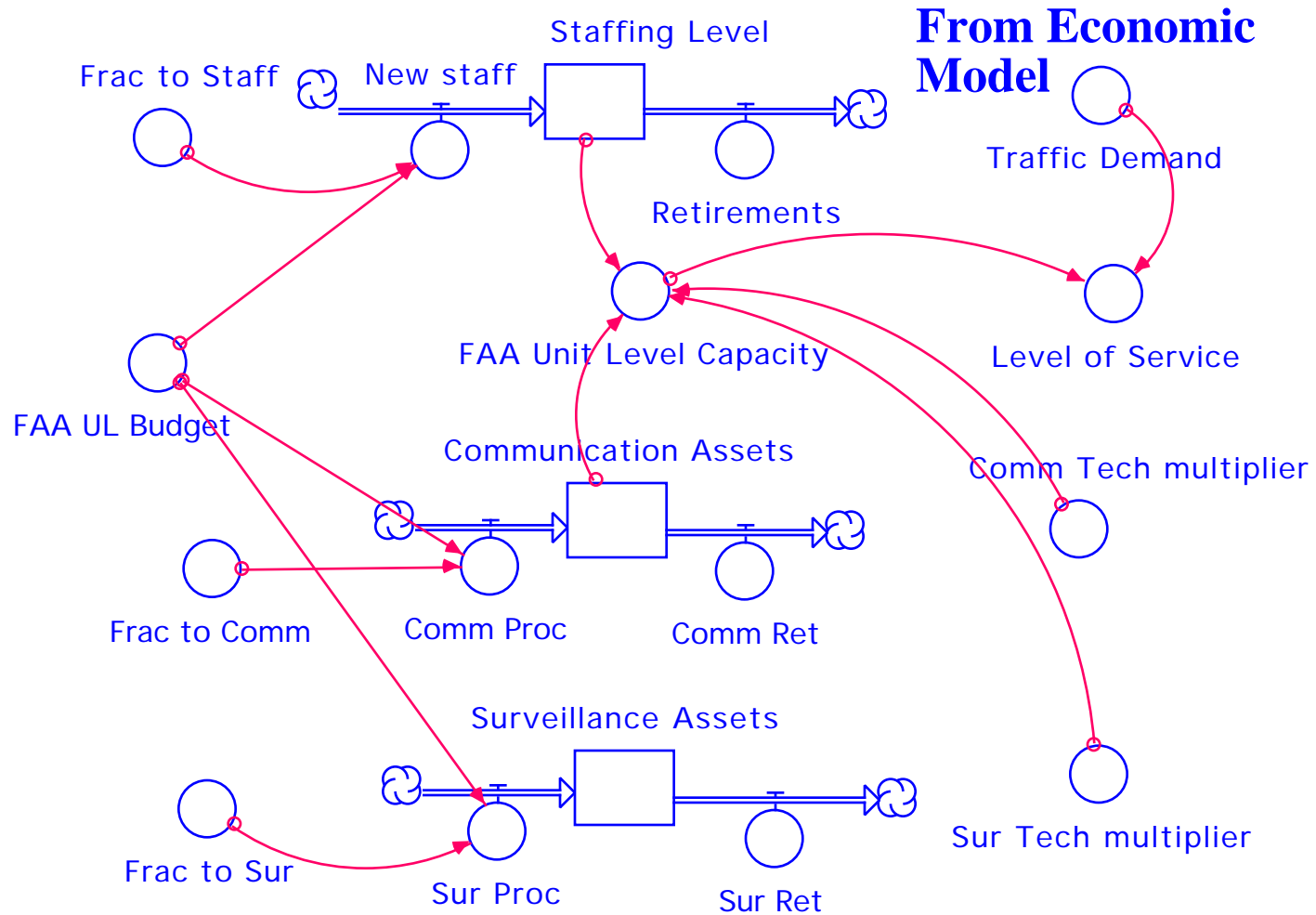
- The following example illustrates in a very simplified way how an airline might add or retire fleet assets



In practice the aircraft fleet **level variable** could be replaced by n levels representing various aircraft age groups



Example of FAA Resources Modeling



US Socio-Economic Development Model (SEM)



- The model includes the following relevant variables from the following sectors:
 - + Industrial
 - + Infrastructure (includes transportation)
 - + Social
 - + Population
- Predicts socio-economic activity over time
- Predicts demand functions (regional, city pair, national, etc.)
- Ties in with air transport model (described in the following pages)
- An adaptation of ACIM can be made to link traditional economic parameters (GNP) and the technical model

US Air Transportation System Development Model (ATSDM)



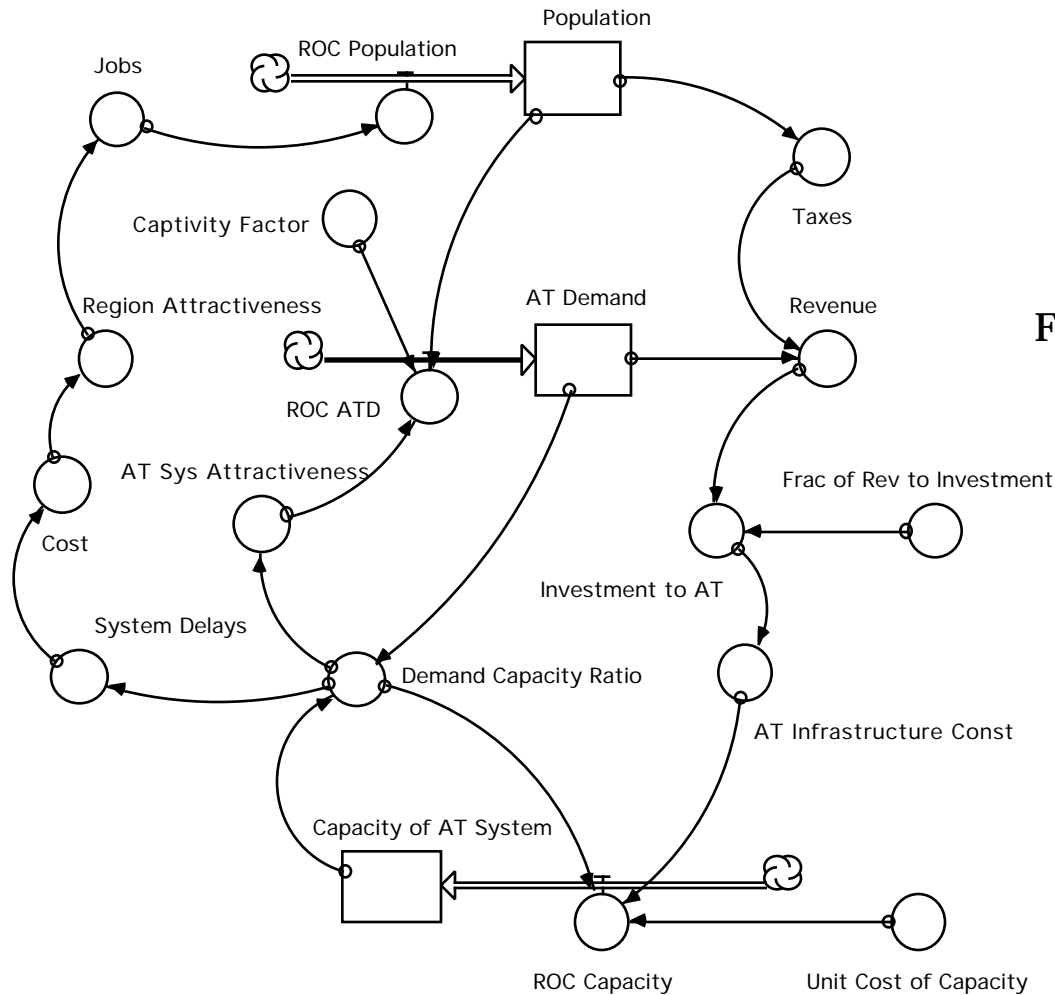
- A technology model to predict air transport specific variables consists of the following sectors:
 - + Air Traffic Control
 - + Airlines
 - + Airport
- Tracks airport infrastructure, air fleet, and ATC assets over time
- Contains the dynamics of agents evolve as system state variables change
- Uses the results of **SEM** (economic model)

Why is Feedback Modeling Important?



- Traditional **NAS metric predictions** are based on a **point performance model** (base and horizon years)
- Agents (FAA, airlines, users, and the economy itself) exhibit various degrees of **adaptive behavior** that are impossible to capture with such a model
- **Feedback occurs naturally** as these agents adapt over time and thus a dynamic model offers an interesting alternative (as long as we know how this adaptation behavior occurs)
- A dynamic model is capable of responding to external inputs as the model evolves (**gaming is possible** with such a model)
- **Life cycle analysis** is a secondary benefit of a dynamic model without gross assumptions in evolution

Model with Explicit Capacity Formulation



Third Order
Feedback System

Scenario Analysis

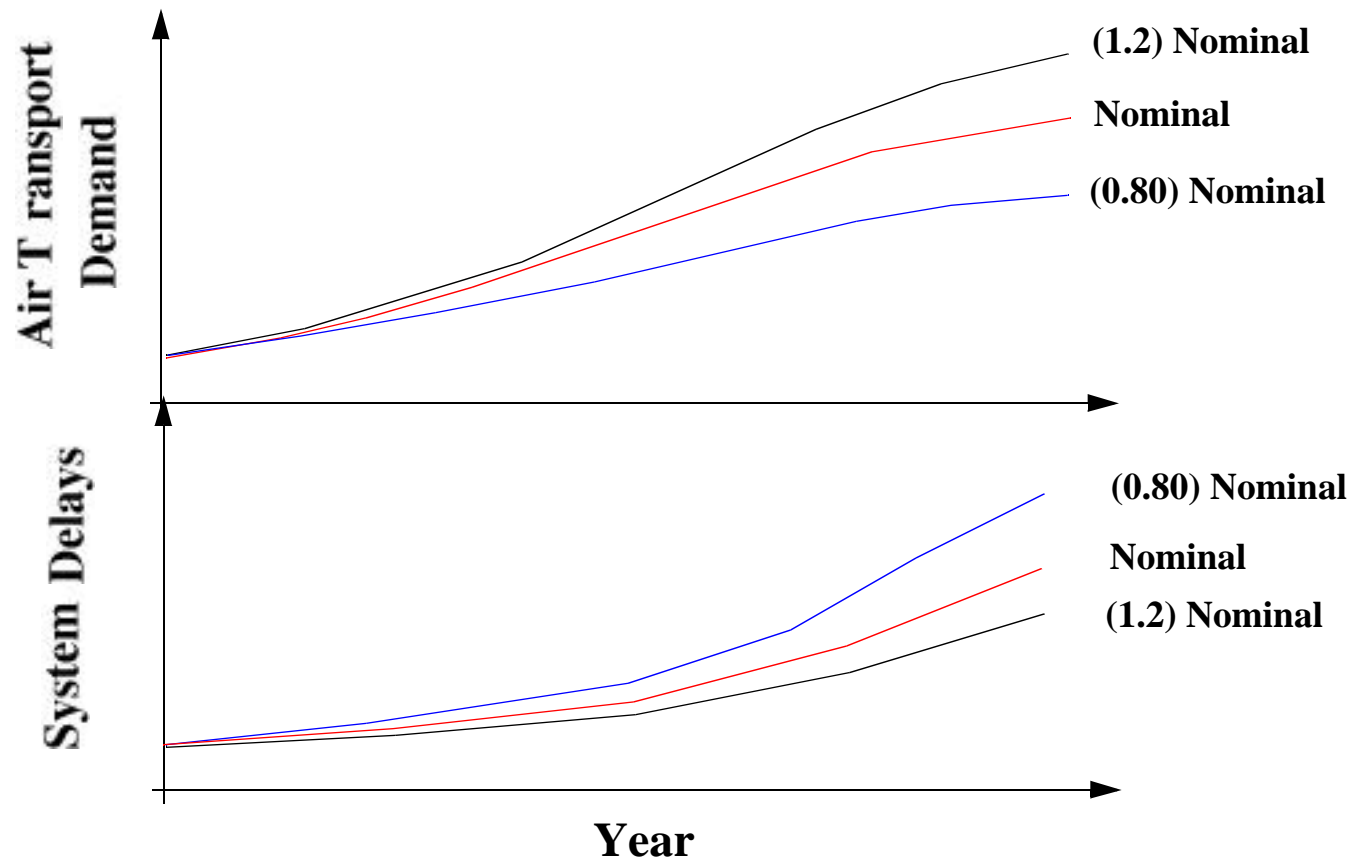


- Scenarios of interest to air transportation service providers, users and FAA can be constructed and analyzed
- The proposed modeling framework can be used to understand important **investment strategies for NAS** and how these affect NAS metrics
 - + Level of ATC automation
 - + Level of airline investments and return
 - + Free flight impacts on NAS metrics
 - + Levels of investment needed
- Perhaps most important is the question **when to invest** to achieve any benefit on investment (the classical ROI airline and FAA question)

Expected Results of the Model



- Notional patterns for various NAS capital investment policies



Closing Remarks



- **Systems Dynamics** is just one method to understand ties between variables of social, economic and technological order in complex dynamic systems like NAS
- A properly developed and calibrated model of NAS behavior could serve as a **living laboratory** where FAA can examine investment policies before implementation
- The approach presented here is a **first order modeling effort** that complements the our understanding of the NARIM umbrella of models
- While it can be argued that **establishing causality between technology and economic variables** is difficult it is important to realize that many concurrent (or previous studies and models) can be useful to sort out these details